This listing of claims will replace all prior versions, and listings, of claims in the subject Patent Application:

Listing of Claims:

1. (Original) A diblock macroinitiator containing norborene and carbazole segments comprising the formula (I):

$$CH_2$$
 CH_2-X

$$(I)$$

wherein, X is one selected from a group consisting of Br and Cl.

2. (Currently Amended) The diblock macroinitiator according to claim 1, wherein, said diblock macroinitiator is prepared from a mixture of cabazole-containing norbornene [[-type]] monomer (II) in the presence of catalyst *via* ring-opening metathesis polymerization, an additional norbornene dervative(III) is

added into the mixture after 15₋ [[~]]120 mins of commencing ring-opening metathesis polymerization and said diblock macroinitiator is obtained, wherein,

$$CH_2-N$$
(II) (III)

wherein, X is one selected from a group consisting of Br and Cl.

- 3. (Original) The diblock macroinitiator according to claim 2, wherein, said metathesis catalyst is $\{Cl_2Ru(CHPh)[P(C_6H_{11})_3]_2\}$.
- 4. (Original) A polynorbornene-containing grafted copolymer comprising the formula (IA), which is prepared by using a diblock macroinitiator with the formula (I):

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$$(I) \qquad (IA)$$

wherein, X is one selected from a group consisting of Br and Cl; and

R is one selected from a group consisting of

5. (Currently Amended) A method for preparing a grafted polynorbornene with the formula (IA) comprises of following steps:

a)Preparation of a macroinitiator with the formula (I) by means of reaction of cabazole-containing norbornene [[-type]] monomer (II) and a catalyst *via* ring-opening metathesis polymerization and addition of additional norbornene derivative(III) into the mixture after 15~120 mins of commencing ring-opening metathesis polymerization;

b)preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, said macroinitiator (I) and a monomer selected from a group consisting of

c) preparation of said grafted polynorbornene copolymer with the formula (IA) by means of a graft copolymerization of said mixture at various temperatures ranged from 50 to 150 °C, wherein,

$$CH_2$$
 CH_2-X CH_2-X CH_2 CH_2 CH_2

$$CH_2-X$$
(III) (IA)

wherein, X is one selected from a group consisting of Br and Cl; and

6. (Withdrawn) A thermally-stable saturated cyclic aliphatic diblock macroinitiator comprising the formula (IV), which is prepared by hydrogenating a diblock macroinitiator with the formula (I):

$$(I) \qquad (IV)$$

wherein, X is one selected from a group consisting of Br and Cl.

7. (Withdrawn) A polynorbornene-containing grafted copolymer comprising the formula (IVA), which is prepared by graft copolymerization by using a diblock macroinitiator with the formula (IV):

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$$(\Box)$$
 $(\Box A)$

wherein, X is one selected from a group consisting of Br and Cl; and

- 8. (Withdrawn) A Method for preparing a grafted polynorbornene with the formula (IVA) comprises of following steps:
- a) Preparation of a macroinitiator with the formula (I) by means of reaction of cabazole-containing norbornene-type monomer (II) and a catalyst *via* ring-opening metathesis polymerization and an additional norbornene dervative(III) was added into the mixture after 15~120 mins commencing of ring-opening metathesis polymerization;
- b) hydrogenation of said diblock macroinitiator with the formula (I) to prepare a thermally-stable saturated cyclic aliphatic diblock macroinitiator with the formula (IV);
- c) preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, said thermally-stable saturated cyclic aliphatic diblock macroinitiator (IV) and a monomer selected from a group consisting of

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d) preparation of said grafted polynorbornene copolymer with the formula (IVA) by means of a graft copolymerization of said mixture at various temperatures ranged from 50 to 150 °C, wherein,

$$\begin{array}{c|c} & & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

$$CH_2$$
 CH_2 CH_2

wherein, X is one selected from a group consisting of Br and Cl; and

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9. (Withdrawn) A norbornene-containing macrmonomer comprising the formula (V), which is prepared by using a norbornene end group-containing initiator with the formula (III):

$$CH_2-X$$
 CH_2-R-X (III)

wherein, X is one selected from a group consisting of Br and Cl; and

- 10. (Withdrawn) A method for preparing a norbornene end group-containing macrmonomer with the formula (V) comprises of following steps:
- a) Preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, a norbornene-type initiator (III) and a monomer selected from a group consisting of

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b) preparation of said norbornene end group-containing macromonomer with the formula (V) by means of radical polymerization of said mixture at various temperatures ranged from 50 to 150 °C, wherein,

$$CH_2-X$$
 CH_2-R-X (III) (\Box)

wherein, X is one selected from a group consisting of Br and Cl; and

R is one selected from a group consisting of

11. (Withdrawn) A norbornene-type macroinitiator comprising the formula (VI), which is prepared by using a catalyst and a norbornene-type dervative with the formula (III) *via* ring-opening metathesis polymerization:

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$$CH_2-X$$
 CH_2-X
(III) (\Box I)

wherein, X is one selected from a group consisting of Br and Cl.

12. (Withdrawn) A polynorbornene-containing grafted copolymer comprising the formula (VII), which is prepared by using a macroinitiator with the formula (VI) via graft copolymerization:

$$+$$
 CH_2-X
 CH_2-R-X
 (\Box)

wherein, X is one selected from a group consisting of Br and Cl; and

R is one selected from a group consisting of

13. (Withdrawn) A saturated cyclic aliphatic polynorbornene-containing grafted copolymer comprising the formula (IX), which is prepared by using a

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saturated cyclic aliphatic macroinitiator with the formula (VIII) via graft copolymerization:

$$CH_2-X$$
 CH_2-R-X (VIII)

wherein, X is one selected from a group consisting of Br and Cl; and

- 14. (Withdrawn) A method for preparing grafted polynorbornene copolymer with the formula (VII) comprises of following steps:
- a) Polymerization a norbornene monomer with the formula (III) by using a catalyst *via* ring-opening metathesis polymerization, to obtain a macroinitiator with the formula (VI);
- b) preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, said macroinitiator (VI) and a monomer selected from a group consisting of

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c) preparation of said grafted polynorbornene copolymer with the formula (VII) by means of a graft copolymerization of said mixture at various temperatures ranged from 70 to 150 °C, wherein,

wherein, X is one selected from a group consisting of Br and Cl; and R is one selected from a group consisting of

15. (Withdrawn) A method for preparing grafted polynorbornene copolymer with the formula (IX) comprises of following steps:

a) Polymerization of a norbornene monomer with the formula (III) by using a catalyst *via* ring-opening metathesis polymerization, to obtain a macroinitiator with the formula (VI);

b) preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, said macroinitiator (VI) and a monomer selected from a group consisting of

- c) hydrogenation of said macroinitiator with the formula (VII) to prepare a thermally-stable saturated cyclic aliphatic macroinitiator with the formula (VIII); and
- d) preparation of a grafted polynorbornene with the formula (IX) by means of radical polymerization of said mixture at various temperatures ranged from 50 to 150 °C, wherein,

$$(III) \qquad (\Box)$$

$$(H_2-X)$$

$$(CH_2-X)$$

$$(CH_2-X)$$

$$(CH_2-X)$$

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$$(\Box II)$$
 (\Box)

wherein, X is one selected from a group consisting of Br and Cl; and R is one selected from a group consisting of

16. (Withdrawn) A norbornene-type compound containing bromo-end group, having the formula (XI):

(XI)

wherein, X is Br or Cl;

 R_1 is -NH-, -O-, -(CH₂)n-NH-, or -(CH₂)n-O-,

wherein, n denotes an integer of 1 to 4; and

 R_2 is H or -CH₃.

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17. (Withdrawn) A polynorbornene-type macroinitiator containing halogenside group, having the formula (XII):

wherein, X is Br or Cl;

 R_1 is -NH- , -O- , -(CH₂)n-NH- , or -(CH₂)n-O-,

wherein, n denotes an integer of 1 to 4.; and

R₂ is H or -CH₃.

18. (Withdrawn) The macroinitiator according to claim 17, wherein, said macroinitiator is prepared from a halogen-containing norbornene-type compound (XI) in the presence of catalyst *via* ring-opening metathesis polymerization, wherein, .

(XI)

19. (Withdrawn) The macroinitiator according to claim 18, wherein, said metathesis catalyst is $\{Cl_2Ru(CHPh)[P(C_6H_{11})_3]_2\}$.

20. (Withdrawn) A thermally-stable saturated cyclic aliphatic macroinitiator comprising the formula (XIII), which is prepared by hydrogenating a macroinitiator with the formula (XII):

wherein, X is Br or Cl;

 R_1 is -NH- , -O- , -(CH₂)n-NH- or -(CH₂)n-O- wherein, n denotes an integer of 1 to 4; and R_2 is H or -CH₃.

21. (Withdrawn) A grafted polynorbornene copolymer comprising the formula (XIV), which is prepared by using a macroinitiator with the formula (XIII) via graft copolymerization:

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wherein, X is Br or Cl;

$$R_1$$
 is -NH-, -O-, -(CH₂)n-NH-, or -(CH₂)n-O-,

wherein, n denotes an integer of 1 to 4;

R₂ is H or -CH₃; and

- 22. (Withdrawn) A method for preparing grafted polynorbornene copolymer with the formula (XIV) comprises of following steps:
- a) Polymerization of a norbornene monomer with the formula (XI) by using a catalyst *via* ring-opening metathesis polymerization to obtain a macroinitiator with the formula (XII);
- b) hydrogenation of said macroinitiator with the formula (VII) to prepare a thermally-stable saturated cyclic aliphatic macroinitiator with the formula (VIII);

c) preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, said thermally-stable saturated cyclic aliphatic macroinitiator (VIII) and a monomer selected from a group consisting of

d) preparation of a grafted polynorbornene with the formula (XIV) by means of radical polymerization of said mixture at various temperatures ranged from 50 to 150 °C, wherein,

$$CH_{2}-R_{1}-C-C-X$$

$$CH_{3}$$

$$CH_{2}-R_{1}-C-C-X$$

$$CH_{3}$$

$$CH_{2}-R_{1}-C-C-X$$

$$CH_{3}$$

$$CH_{3}$$

wherein, X is Br or Cl;

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wherein, n denotes an integer of 1 to 4;

R₂ is H or -CH₃; and

R is one selected from a group consisting of

- 23. (Withdrawn) A method for preparing grafted polynorbornene copolymer with the formula (XIVA) comprises of following steps:
- a) Polymerization of a norbornene monomer with the formula (XI) by using a catalyst *via* ring-opening metathesis polymerization, to obtain a macroinitiator with the formula (XII);
- b) preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, said macroinitiator (VII) and a monomer selected from a group consisting of

c) preparation of a grafted polynorbornene with the formula (XIVA) by means of radical polymerization of said mixture at various temperatures ranged from 50 to $150\,^{\circ}$ C, wherein,

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$$\begin{array}{c} \leftarrow \\ \bigcirc \\ \bigcirc \\ CH_2 - R_1 - C - C - R - X \\ CH_3 \end{array}$$

(XIVA)

wherein, X is Br or Cl;

$$R_1$$
 is -NH-, -O-, -(CH₂)_n-NH-, or -(CH₂)_n-O-,

wherein, n denotes an integer of 1 to 4;

R₂ is H or -CH₃; and

R is one selected from a group consisting of

24. (Withdrawn) A method for preparing norbornene end group-containing macromonomer with the formula (XV) comprises of following steps:

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a) Preparation of a mixture of Cu(I)Br, 2,2'-bipyridine, a norbornene dervative (XI) and a monomer selected from a group consisting of

b) preparation of a norbornene end group-containing macromonomer with the formula (XV) by means of radical polymerization of said mixture at various temperatures ranged from 50 to 150 °C, wherein,

wherein, X is Br or Cl;

$$R_1$$
 is -NH-, -O-, -(CH₂)n-NH-, or -(CH₂)n-O-,

wherein, n denotes an integer of 1 to 4;

R₂ is H or -CH₃; and

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25. (Withdrawn) A norbornene end group-containing macromonomer comprises of formula (XV):

$$CH_2-R_1-C-C-R-X$$

$$CH_3$$

$$(XV)$$

wherein, X is Br or Cl;

 R_1 is -NH-, -O-, -(CH₂)n-NH-, or -(CH₂)n-O-,

wherein, n denotes an integer of 1 to 4;

R₂ is H or -CH₃; and

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26. (Withdrawn) A copolymer containing carbazole and halo- side groups comprising the formula (XVI):

wherein, X is Br or Cl;

 R_1 is -NH-, -O-, -(CH₂)n-NH-, or -(CH₂)n-O-,

wherein, n denotes an integer of 1 to 4;

R₂ is H or -CH₃; and

R is one selected from a group consisting of

27. (Withdrawn) The copolymer according to claim 26, wherein, said copolymer macroinitiator is prepared from a mixture of cabazole-containing norbornene-type monomer (II) and a macromonomer with the formula (XV) in the presence of catalyst *via* ring-opening metathesis polymerization, wherein,

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$$\begin{array}{c|c} & O & R_2 \\ & & CH_2-R_1-C-C-R-X \\ & & CH_3 \end{array}$$
(II) (XV)

wherein, X is Br or Cl;

 R_1 is -NH-, -O-, -(CH₂)n-NH-, or -(CH₂)n-O-,

wherein, n denotes an integer of 1 to 4;

R₂ is H or -CH₃; and